

Section 103 #3



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
PETERSON, ET AL.

Serial No. **09/938,288**

Filing Date: **August 23, 2001**

For: **METHODS OF FORMING A
COMPACT DISC**

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts
mailed September 26, 2001, Applicants enclose the following:

1. Filing fee of \$740.00 for a large entity (fees calculated as shown below):

	(Col. 1)		(Col. 2)		LARGE ENTITY	
FOR:	# FILED		# EXTRA		RATE	FEE
BASIC FEE						\$ 740
TOTAL CLAIMS	9	-20	0		X 18	\$ -
INDEP CLAIMS	2	- 3	0		X 84	\$ -
*If the difference in Col. 1 is less than "0", enter "0" in Col. 2.					TOTAL	\$ 740

2. Surcharge of \$130.00 for large entity.
3. Request for Extension of Time

In re Patent Application of:
PIERSON ET AL.
Serial No. **09/938,288**
Filing Date: **08/23/01**

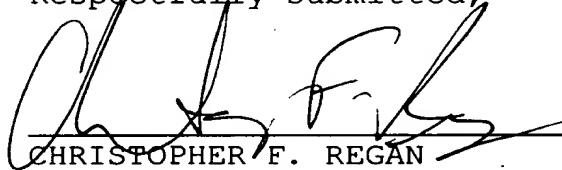
4. Copy of "Notice to File Missing Parts of Application--Filing Date Granted" mailed September 26, 2001.

Check in the amount of \$870.00 to cover the filing fee and surcharge.

If any additional extension and/or fee is required, or if any additional fee for claims is required, charge.

Account No. **01-0484**.

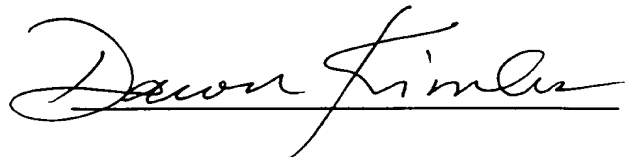
Respectfully submitted,



CHRISTOPHER F. REGAN
Reg. No. 34,906
Allen, Dyer, Doppelt, Milbrath
And Gilchrist, P.A.
255 S. Orange Avenue, Suite 1401
Post Office Box 3791
Orlando, Florida 32802
407-841-2330
407-841-2343 fax
Attorney for Applicant

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: ASSISTANT COMMISSIONER FOR PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231, on this 4th day of February, 2002.



In re Patent Application of:


PIERSON ET AL.

Serial No. **09/938,288**

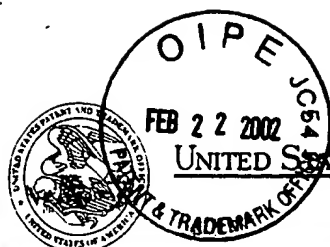
Filing Date: **08/23/01**

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: DIRECTOR, U.S. PATENT AND TRADEMARK OFFICE, WASHINGTON, D.C. 20231, on this 4th day of February, 2002.



COPY OF PAPERS
ORIGINALLY FILED



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/938,288	08/23/2001	Gerald A. Pierson	18554F

CONFIRMATION NO. 1186

FORMALITIES LETTER



OC00000006794837

Jeffrey S. Whittle, Esq.
Allen, Dyer, Doppelt, Milbrath & Gilchrist, P.A.
P.O. Box 3791
Orlando, FL 32802-3791

Date Mailed: 09/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

02/28/2002 NMOHAMM1 00000063 09938288

01 FC:101	740.00 DP
02 FC:105	130.00 DP

A copy of this notice MUST be returned with the reply.

S. H.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE